

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(d))

Docket No.
14952

In Re Application Of
Takeshi Azami, et al.

Serial No.
09/937,272

Filing Date
September 24, 2001

Examiner
Matthew J. Song

Group Art Unit
1765

Title: **METHOD FOR CONTROLLING MELT AND METHOD FOR GROWING CRYSTAL**

RECEIVED
SEP 04 2003
TC 1700

Address to:
Commissioner for Patents

The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(d), and on or before payment of the issue fee, and is accompanied by the Statement as specified in 37 CFR 1.97(e) and the fee set forth in 37 CFR 1.17(p).

A check in the amount of \$180.00 is attached.

Charge Deposit Account No. in the amount of

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F)

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

*This certificate may only be used if paying by deposit account.

Signature

I certify that this document and fee is being deposited on August 26, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

Paul J. Esatto, Jr.

Typed or Printed Name

Paul J. Esatto, Jr.
Reg. No. 30,749

Dated: August 26, 2003

Correspondence Address
Customer No.: 23389

PJE:AVS:jf

CC:



PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Takeshi AZAMI, et al.

Examiner: Matthew J. Song

Serial No.: 09/937,272

Art Unit: 1765

Filed: September 24, 2001

Docket: 14952

For: METHOD FOR CONTROLLING MELT
AND METHOD FOR GROWING CRYSTAL

Dated: August 26, 2003

RECEIVED
SEP 04 2003
TC 1700

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

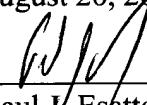
In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. European Patent Publication EP 0 625 595 A2 dated March 18, 1994.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450 on August 26, 2003.

Dated: August 26, 2003



Paul J. Esatto, Jr.

The reference was cited in an Office Action received from the German Patent Office. Applicants are submitting a copy of the above-cited reference, together with a copy of the Office Action and an English translation thereof. Reference no. 2 cited in the Office Action was previously submitted in an Information Disclosure Statement dated May 10, 2002.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of the Office Action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. §1.97(d), the \$180.00 fee and statement are enclosed.

Respectfully submitted,



Paul J. Esatto, Jr.
Registration No. 30,749

Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343

PJE:AVS:jf

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



RECEIVED

SEP 04 2003

TC 1700

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Takeshi AZAMI, et al.

Examiner: Matthew J. Song

Serial No.: 09/937,272

Art Unit: 1765

Filed: September 24, 2001

Docket: 14952

For: METHOD FOR CONTROLLING MELT
AND METHOD FOR GROWING CRYSTAL

Dated: August 26, 2003

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

STATEMENT PURSUANT
TO 37 C.F.R. § 1.97(c)(1) and (e)(1)

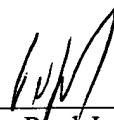
Sir:

I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant also submits the requisite \$180.00 official fee pursuant to § 1.17(p) by means of the enclosed check.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450 on August 26, 2003.

Dated: August 26, 2003



Paul J. Esatto, Jr.

Accordingly, it is respectfully requested that the accompanying Information Disclosure Statement be considered with respect to the above-identified application.

Respectfully submitted,



Paul J. Esatto, Jr.
Registration No. 30,749

Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343

PJE:AVS:jf